



PATENT
06005/35530

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants : Havekost et al.
Ser. No. : 09/777,225
Filed : February 5, 2001
For : HIERARCHICAL FAILURE
MANAGEMENT FOR
PROCESS CONTROL
SYSTEMS
Art Unit : 2184
Examiner : C. S. McCarthy

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) February 4, 2004

)
) Roger A. Heppermann
) Registration No. 37,641

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

FEB 17 2004

Technology Center 2100

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, the documents identified on the enclosed form PTO-1449, copies of which are enclosed, are disclosed to the Examiner for consideration in connection with the present application.

A concise explanation of relevance of the items listed on form PTO/SB/08 is:

- ☒ [X] not given
- ☐ [] given for each listed item
- ☐ [] given for only non-English language listed items
- ☐ [] in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

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While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

This supplemental information disclosure statement is hereby submitted pursuant to 37 CFR 1.97(c) and is accompanied by the required fee of \$180.00. In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.97 and 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Respectfully submitted,



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